

Trinity University
Conflict of Interest Disclosure Statement (Part B)

Please answer "YES" if the activity or interest described has taken place in the last 12 months, or if you anticipate (based on your current best guess) that it will take place within the next 12 months. Words are defined on page 2 of this form.

1. EQUITY INTERESTS IN RELATED BUSINESSES
Could the value in equity interest held in any related business by you or anyone in your family have been (be) influenced by the exercise of your professional duties at Trinity?
YES NO

2. FINANCIAL CONNECTIONS WITH RELATED BUSINESS
Have you (will you) or anyone in your family been (be) in a policy position or had (will have) receipts of more than \$500 from any for-profit business (excluding consulting income) whose activities relate to your professional area a Trinity?
YES NO

3. OUTSIDE EMPLOYMENT OF STUDENT OR STAFF
In your activities outside of Trinity, did you (will you) utilize, in either a paid or unpaid capacity, the services of Trinity students or staff over whom you exercise supervisory or academic responsibility in your role at the University?
YES NO

4. USE OF TRINITY RESROUCES TO SUPPORT EXTERNAL ACTIVITIES
Did you (will you) make use of Trinity facilities, equipment, intellectual property, or staff services to support consulting at a for-profit business or some other commercial activity?
YES NO

5. OTHER FINANCIL INTERESTS
Have you (will you), or anyone in your family had (have) a financial interest in any contract, sale, or other transaction to which Trinity was a party?
YES NO

6. OTHER SITUATIONS
Are there (will there be) any other situations, not listed above, that may create an actual or perceived conflict of interest between your role at Trinity and an outside interest?
YES NO

Name (Print)
Title

Division or Department

Signature

Date

NOTE: If you answered "YES" to any question, please fill out Part C

Conflict of Interest Disclosure Statement – Definitions

Activities: includes both direct activities and financial interest in the activities of other businesses.

Business: Any corporation, partnership, proprietorship, firm, franchise, association, holding company, joint stock company, receivership, trust, or any other legal entity organized for profit. It does not include mutual funds over which you have no control, or any governmental committee, community, political, academic, charitable, religious, social, or professional non-profit organization.

Contracts: Excludes the terms of your own or any family member's employment contract with the university.

Trinity: Includes all units operated by the university.

Equity interests: Ownership or control of stock, stock options, or other investment instruments.

Facilities: Excludes your office, office equipment and incidental supplies (e.g. copying, FAX, long distance telephone service) for which you reimburse Trinity University.

Family: Spouse, affectional partner, children, siblings, parents, dependents, or members of your household.

Intellectual property: Includes organized information, ideas, fabrication methods, devices, or substances that are patented or copyrighted or have that potential, and that are partially or fully owned by Trinity. Exclude any intellectual property for which you hold a license from Trinity or which is in the public domain.

Investigator: The principal investigator, co-principal investigators, and any other person at the institution who is responsible for the design, conduct, or reporting of research or educational activities funded by a federal grant.

Policy position: A director, officer, partner, manager, or agent in any managerial position.

Receipts: Includes loans, gifts, royalties and earned income other than consulting fees. Exclude grants, contracts, or any other sums that you or your family member receive from Trinity, no matter what the original source.

Significant financial interests: Any business, equity interests or intellectual property of the investigator or his immediate family that would reasonably appear to be directly and significantly affected by his/her federally funded research or proposed federally funded research. Other business, equity interests or intellectual property that exceeds \$5000 or 5% combined family ownership. Anything of monetary value, including but not limited to, salary or other payment for services (e.g., consulting fees or honoraria); equity interests, and intellectual property rights.

The term does not include:

- income from seminars, lectures, or teaching engagements sponsored by public or non-profit entities.
- income from service on advisory committees or review panels for public or non-profit entities; or
- financial interests in business enterprises or entities if the value of such interests do not exceed \$5000 or represent more than 5% ownership interest for any one enterprise or entity when aggregated for the investigator and the investigator's spouse and dependent children,

Staff services: Excludes infrequent secretarial support.